

OFFICE OF THE STATE CONTROLLER
STATE MANDATED COSTS CLAIMING INSTRUCTIONS NO. 2006-06
PUPIL EXCLUSIONS (AMENDED)

FEBRUARY 21, 2006

In accordance with Government Code (GC) section 17561, eligible claimants may submit claims to the State Controller's Office (SCO) for reimbursement of costs incurred for state mandated cost programs. The following are claiming instructions and forms that eligible claimants will use for the filing of claims for the Pupil Exclusions Program. These claiming instructions are issued subsequent to the adoption of the program's parameters and guidelines (P's & G's) which was amended by the Commission on State Mandates (COSM).

On March 27, 1997, the COSM adopted a Statement of Decision finding that certain provisions of Education Code sections 48213 and 48214, as added by Statutes 1978, Chapter 668, imposed a new program or higher level of service upon school districts within the meaning of article XIII B, section 6 of the California Constitution, and costs mandated by the state pursuant to Government Code section 17514 for the following activities:

- Reporting to the governing board the facts relied upon to support a decision to exclude a child from attendance pursuant to Health and Safety Code section 3118 and Education Code section 49451. (Ed. Code, section 48213.)
- Reporting to the governing board the facts relied upon to support a decision to exclude a pupil when it is determined that the pupil's continued presence at school would constitute a clear and present danger to the life, safety, or health of pupils or school personnel. (Ed. Code, section 48213.)
- Including in the notice to the pupil's parent or guardian: (a) a statement that the parent or guardian shall have the opportunity to inspect all documents which the governing board relied upon in its decision to exclude or propose to exclude; (b) a statement that the decision to exclude the child is subject to periodic review; and (c) a statement of the procedures set by the governing board for such periodic review. (Ed. Code, section 48213.)
- Allowing the parent or guardian of a pupil who is 18 years of age or older to inspect all documents which the governing board relied upon in its decision to exclude or propose to exclude. (Ed. Code, section 48213.)
- Adopting rules and regulations governing periodic reviews of its decisions to exclude pupils pursuant to Education Code section 48211. (Ed. Code, section 48214.)

Statutes 2004, chapter 895(AB 2855, effective January 1, 2005) repealed Education Code section 42814, and Statutes 2005, chapter 677(SB 512, effective October 7, 2005) repealed Education Code section 48213, and added new section 48213.

Eligible Claimants

Any "school district," as defined in GC section 17519, except for community colleges, that incurs increased costs as a result of this mandate is eligible to claim reimbursement.

Filing Deadlines

Reimbursement claims for the period July 1, 2004 to December 31, 2004 should be filed under the old P's & G's and for the period January 1, 2005 to June 30, 2005, should be filed under the new (amended) P's & G's. Estimated claims for the 2005-06 fiscal year should also be filed under the new (amended) P's & G's and must be delivered or postmarked on or before **June 21, 2006**. If reimbursement claim for fiscal year 2004-05 and/or an estimated claim for fiscal year 2005-06 have already been filed, those claims must be amended and filed with the SCO and be delivered or postmarked on or before **June 21, 2006**. Claims filed after the deadline will be reduced by a late penalty of 10%.

In order for a claim to be considered properly filed, it must include specific supporting documentation requested in these claiming instructions. **Claims filed more than one year after the deadline or without the requested supporting documentation will not be accepted.**

Minimum Claim Cost

GC section 17564(a) provides that no claim shall be filed pursuant to sections 17551 and 17561, unless such claim exceeds one thousand dollars (\$1,000), provided that a county superintendent of schools may submit a combined claim on behalf of school districts within their county if the combined claim exceeds \$1,000, even if each of the individual school district's claims does not exceed \$1,000. The county superintendent of schools shall determine if the submission of the combined claim is economically feasible and shall be responsible for disbursing the funds to each school district. These combined claims may be filed only when the county superintendent of schools is the fiscal agent for the school districts. A combined claim must show the individual claim costs for each eligible school district. All subsequent claims based upon the same mandate shall only be filed in the combined form unless a school district provides a written notice of its intent to file a separate claim to the county superintendent of schools, and to the SCO, at least 180 days prior to the deadline for filing the claim.

Reimbursement of Claims

To be eligible for mandated cost reimbursement for any fiscal year, only actual costs may be claimed. Actual costs are those costs actually incurred to implement the mandated activities. Actual costs must be traceable and supported by source documents that show the validity of such costs, when they were incurred, and their relationship to the reimbursable activities. A source document is a document created at or near the same time the actual cost was incurred for the event or activity in question. Source documents may include, but are not limited to, employee time records or time logs, sign-in sheets, invoices, and receipts.

Evidence corroborating the source documents may include, but is not limited to worksheets, cost allocation reports (system generated), purchase orders, contracts, agendas, and declarations. Declarations must include a certification or declaration stating, "I certify, (or declare), under penalty of perjury under the laws of the State of California that the foregoing is true and correct," and must further comply with the requirements of the Code of Civil Procedure section 2015.5. Evidence corroborating the source documents may include data relevant to the reimbursable activities otherwise in compliance with local, state, and federal government requirements. However, corroborating documents cannot be substituted for source documents.

Audit of Costs

All claims submitted to the SCO are reviewed to determine if costs are related to the mandate, are reasonable and not excessive, and the claim was prepared in accordance with the SCO's

claiming instructions and the P's & G's adopted by the COSM. If any adjustments are made to a claim, a "Notice of Claim Adjustment" specifying the claim activity adjusted, the amount adjusted, and the reason for the adjustment, will be mailed within 30 days after payment of the claim.

Pursuant to GC section 17558.5, subdivision (a), a reimbursement claim for actual costs filed by a school district pursuant to this chapter is subject to the initiation of an audit by the SCO no later than three years after the date that the actual reimbursement claim is filed or last amended, whichever is later. However, if no funds are appropriated or no payment is made to a claimant for the program for the fiscal year for which the claim is filed, the time for the SCO to initiate an audit shall commence to run from the date of initial payment of the claim. All documents used to support the reimbursable activities must be retained during the period subject to audit. If an audit has been initiated by the SCO during the period subject to audit, the retention period is extended until the ultimate resolution of any audit findings.

On-site audits will be conducted by the SCO as deemed necessary. Accordingly, all documentation to support actual costs claimed must be retained for a period of three years after the end of the calendar year in which the reimbursement claim was filed or amended regardless of the year of costs incurred. When no funds are appropriated for initial claims at the time the claim is filed, supporting documents must be retained for three years from the date of initial payment of the claim. Claim documentation shall be made available to the SCO on request.

Retention of Claiming Instructions

The claiming instructions and forms in this package should be retained permanently in your Mandated Cost Manual for future reference and use in filing claims. These forms should be duplicated to meet your filing requirements. You will be notified of updated forms or changes to claiming instructions as necessary.

Questions or requests for hard copies of these instructions should be faxed to Angie Lowi-Teng at (916) 323-6527 or e-mailed to **LRSDAR@sco.ca.gov** or, if you wish, you may call the Local Reimbursements Section at (916) 324-5729.

For your reference, these and future mandated costs claiming instructions and forms can be found on the Internet at www.sco.ca.gov/ard/local/locreim/index.shtml.

Address for Filing Claims

Claims should be rounded to the nearest dollar. Submit a signed original and a copy of form FAM-27, Claim for Payment, and all other forms and supporting documents. **(To expedite the payment process, please sign the form in blue ink, and attach a copy of the form FAM-27 to the top of the claim package).** Use the following mailing addresses:

If delivered by
U.S. Postal Service:

Office of the State Controller
Attn: Local Reimbursements Section
Division of Accounting and Reporting
P.O. Box 942850
Sacramento, CA 94250

If delivered by
other delivery services:

Office of the State Controller
Attn: Local Reimbursements Section
Division of Accounting and Reporting
3301 C Street, Suite 500
Sacramento, CA 95816

PARAMETERS AND GUIDELINES AMENDMENT

Education Code Section 48213

Education Code Section 48214

Statutes 1978, Chapter 668

Pupil Exclusions

04-PGA-28 (CSM-4457)

I. SUMMARY OF THE MANDATE

On March 27, 1997, the Commission on State Mandates (Commission), adopted a Statement of Decision finding that certain provisions of Education Code sections 48213 and 48214, as added by Statutes 1978, Chapter 668, imposed a new program or higher level of service upon school districts within the meaning of article XIII B, section 6 of the California Constitution, and costs mandated by the state pursuant to Government Code section 17514 for the following activities:

- Reporting to the governing board the facts relied upon to support a decision to exclude a child from attendance pursuant to Health and Safety Code section 3118 and Education Code section 49451. (Ed. Code, § 48213.)
- Reporting to the governing board the facts relied upon to support a decision to exclude a pupil when it is determined that the pupil's continued presence at school would constitute a clear and present danger to the life, safety, or health of pupils or school personnel. (Ed. Code, § 48213.)
- Including in the notice to the pupil's parent or guardian: (a) a statement that the parent or guardian shall have the opportunity to inspect all documents which the governing board relied upon in its decision to exclude or propose to exclude; (b) a statement that the decision to exclude the child is subject to periodic review; and (c) a statement of the procedures set by the governing board for such periodic review. (Ed. Code, § 48213.)
- Allowing the parent or guardian of a pupil who is 18 years of age or older to inspect all documents which the governing board relied upon in its decision to exclude or propose to exclude. (Ed. Code, §, 48213.)
- Adopting rules and regulations governing periodic reviews of its decisions to exclude pupils pursuant to Education Code section 48211. (Ed. Code, § 48214.)

Statutes 2004, chapter 895 (AB 2855, eff. January 1, 2005) repealed Education Code section 42814, and Statutes 2005, chapter 677(SB 512, eff. October 7, 2005) repealed Education Code section 48213, and added new section 48213.

II. ELIGIBLE CLAIMANTS

Any “school district”, as defined in Government Code section 17519, except for community colleges, which incurs increased costs as a result of this mandate is eligible to claim reimbursement.

III. PERIOD OF REIMBURSEMENT

The period of reimbursement for the activities in this parameters and guidelines amendment begins on January 1, 2005, and October 7, 2005, as specified in Section V. below:

Pursuant to Government Code section 17560, reimbursement for state-mandated costs may be claimed as follows:

1. A school district may file an estimated reimbursement claim by January 15 of the fiscal year in which costs are to be incurred, and, by January 15 following that fiscal year shall file an annual reimbursement claim that details the costs actually incurred for that fiscal year; or it may comply with the provisions of subdivision (b).
2. A school district may, by January 15 following the fiscal year in which costs are incurred, file an annual reimbursement claim that details the costs actually incurred for that fiscal year.
3. In the event revised claiming instructions are issued by the Controller pursuant to subdivision (c) of section 17558 between October 15 and January 15, a school district filing an annual reimbursement claim shall have 120 days following the issuance date of the revised claiming instructions to file a claim.

Reimbursable actual costs for one fiscal year shall be included in each claim. Estimated costs for the subsequent year may be included on the same claim, if applicable. Pursuant to Government Code section 17561, subdivision (d)(1), all claims for reimbursement of initial years’ costs shall be submitted within 120 days of the issuance of the State Controller’s claiming instructions. If the total costs for a given fiscal year do not exceed \$1,000, no reimbursement shall be allowed, except as otherwise allowed by Government Code section 17564.

There shall be no reimbursement for any period in which the Legislature has suspended the operation of a mandate pursuant to state law.

IV. REIMBURSABLE ACTIVITIES

To be eligible for mandated cost reimbursement for any fiscal year, only actual costs may be claimed. Actual costs are those costs actually incurred to implement the mandated activities. Actual costs must be traceable and supported by source documents that show the validity of such costs, when they were incurred, and their relationship to the reimbursable activities. A source document is a document created at or near the same time the actual cost was incurred for the event or activity in question. Source documents may include, but are not limited to, employee time records or time logs, sign-in sheets, invoices, and receipts.

Evidence corroborating the source documents may include, but is not limited to, worksheets, cost allocation reports (system generated), purchase orders, contracts, agendas, and declarations. Declarations must include a certification or declaration stating, “I certify (or declare) under penalty of perjury under the laws of the State of California that the foregoing is true and correct,” and must further comply with the requirements of Code of Civil Procedure section 2015.5.

Evidence corroborating the source documents may include data relevant to the reimbursable activities otherwise in compliance with local, state, and federal government requirements. However, corroborating documents cannot be substituted for source documents.

The claimant is only allowed to claim and be reimbursed for increased costs for reimbursable activities identified below. Increased cost is limited to the cost of an activity that the claimant is required to incur as a result of the mandate.

For each eligible claimant, the following activities are reimbursable:

A. Rules and Regulations (*Reimbursement ends December 31, 2004*)

Adopting rules and regulations for periodic reviews of governing board decisions to exclude students pursuant to Education Code section 48211.

B. Exclusion Report

Reporting the facts supporting the pupil's exclusion to the governing board when the exclusion is based upon Health and Safety Code section 120230 (former section 3118) or Education Code section 49451, or when the pupil's continued presence at school would constitute a clear and present danger to the life, safety or health of other pupils or school personnel. The school principal or other responsible school official may make the report, which may be oral or written.

C. Specified Statements in the Exclusion Notice (*Reimbursement ends October 6, 2005*)

Inclusion of the following statements in the governing board's written notice to the pupil's parent(s) or guardian(s):

1. a statement that the parent or guardian shall have the opportunity to inspect all documents which the governing board relied upon in its decision to exclude or propose to exclude;
2. a statement that the exclusion of the pupil is subject to periodic review; and
3. a statement of the procedures set by the governing board for such periodic review.

The required statements may be included by the attachment of a standard form or inclusion of 'boiler plate' text within the written notice, or by any other cost-effective method selected by the claimant.

D. Providing Access to Documents (*Reimbursement ends October 6, 2005*)

Providing access to the parent(s) or guardian(s) of the pupil who is proposed for exclusion to the following documents: upon which the governing board relied in its decision to propose exclusion, as follows:

1. If the pupil who is proposed for exclusion is 18 years of age or older, all documents upon which the governing board relied in its decision to propose exclusion, or
2. If the pupil who is proposed for exclusion is less than 18 years of age, those documents on which the governing board relied in its decision to propose exclusion which are not "education records" as defined in 20 U.S.C. section 1232g, subdivision (a)(4).

V. CLAIM PREPARATION AND SUBMISSION

Each of the following cost elements must be identified for each reimbursable activity identified in Section IV, Reimbursable Activities, of this document. Each claimed reimbursable cost must be supported by source documentation as described in Section IV. Additionally, each reimbursement claim must be filed in a timely manner.

A. Direct Cost Reporting

Direct costs are those costs incurred specifically for the reimbursable activities. The following direct costs are eligible for reimbursement.

1. Salaries and Benefits

Report each employee implementing the reimbursable activities by name, job classification, and productive hourly rate (total wages and related benefits divided by productive hours). Describe the specific reimbursable activities performed and the hours devoted to each reimbursable activity performed.

2. Materials and Supplies

Report the cost of materials and supplies that have been consumed or expended for the purpose of the reimbursable activities. Purchases shall be claimed at the actual price after deducting discounts, rebates, and allowances received by the claimant. Supplies that are withdrawn from inventory shall be charged on an appropriate and recognized method of costing, consistently applied.

3. Contracted Services

Report the name of the contractor and services performed to implement the reimbursable activities. Attach a copy of the contract to the claim. If the contractor bills for time and materials, report the number of hours spent on the activities and all costs charged. If the contract is a fixed price, report the dates when services were performed and itemize all costs for those services.

4. Fixed Assets and Equipment

Report the purchase price paid for fixed assets and equipment (including computers) necessary to implement the reimbursable activities. The purchase price includes taxes, delivery costs, and installation costs. If the fixed asset or equipment is also used for purposes other than the reimbursable activities, only the pro-rata portion of the purchase price used to implement the reimbursable activities can be claimed.

B. Indirect Cost Rates

Indirect costs are costs that have been incurred for common or joint purposes. These costs benefit more than one cost objective and cannot be readily identified with a particular final cost objective without effort disproportionate to the results achieved. After direct costs have been determined and assigned to other activities, as appropriate, indirect costs are those remaining to be allocated to benefited cost objectives. A cost may not be allocated as an indirect cost if any other cost incurred for the same purpose, in like circumstances, has been claimed as a direct cost.

Indirect costs include: (a) the indirect costs originating in each department or agency of the governmental unit carrying out state mandated programs, and (b) the costs of central governmental services distributed through the central service cost allocation plan and not otherwise treated as direct costs.

School districts must use the J-380 (or subsequent replacement) non-restrictive indirect cost rate provisionally approved by the California Department of Education.

County offices of education must use the J-580 (or subsequent replacement) non-restrictive indirect cost rate provisionally approved by the California Department of Education.

VI. RECORD RETENTION

Pursuant to Government Code section 17558.5, subdivision (a), a reimbursement claim for actual costs filed by a local agency or school district pursuant to this chapter¹ is subject to the initiation of an audit by the Controller no later than three years after the date that the actual reimbursement claim is filed or last amended, whichever is later. However, if no funds are appropriated or no payment is made to a claimant for the program for the fiscal year for which the claim is filed, the time for the Controller to initiate an audit shall commence to run from the date of initial payment of the claim. In any case, an audit shall be completed not later than two years after the date that the audit is commenced. All documents used to support the reimbursable activities, as described in Section IV, must be retained during the period subject to audit. If an audit has been initiated by the Controller during the period subject to audit, the retention period is extended until the ultimate resolution of any audit findings.

VII. OFFSETTING SAVINGS AND REIMBURSEMENTS

Any offsetting savings the claimant experiences in the same program as a result of the same statutes or executive orders found to contain the mandate shall be deducted from the costs claimed. In addition, reimbursement for this mandate from any source, including but not limited to, service fees collected, federal funds, and other state funds, shall be identified and deducted from this claim.

VIII. STATE CONTROLLER'S REVISED CLAIMING INSTRUCTIONS

Pursuant to Government Code section 17558, subdivision (c), the Controller shall issue revised claiming instructions for each mandate that requires state reimbursement not later than 60 days after receiving the revised parameters and guidelines from the Commission, to assist local agencies and school districts in claiming costs to be reimbursed. The revised claiming instructions shall be derived from the test claim decision and the revised parameters and guidelines adopted by the Commission.

Pursuant to Government Code section 17561, subdivision (d)(2), issuance of the revised claiming instructions shall constitute a notice of the right of the local agencies and school districts to file reimbursement claims, based upon the revised parameters and guidelines adopted by the Commission.

¹ This refers to Title 2, division 4, part 7, chapter 4 of the Government Code.

IX. REMEDIES BEFORE THE COMMISSION

Upon request of a local agency or school district, the Commission shall review the claiming instructions issued by the State Controller or any other authorized state agency for reimbursement of mandated costs pursuant to Government Code section 17571. If the Commission determines that the claiming instructions do not conform to the parameters and guidelines, the Commission shall direct the Controller to modify the claiming instructions and the Controller shall modify the claiming instructions to conform to the parameters and guidelines as directed by the Commission.

In addition, requests may be made to amend parameters and guidelines pursuant to Government Code section 17557, subdivision (d), and California Code of Regulations, title 2, section 1183.2.

X. LEGAL AND FACTUAL BASIS FOR THE PARAMETERS AND GUIDELINES

The Statement of Decision is legally binding on all parties and provides the legal and factual basis for the parameters and guidelines. The support for the legal and factual findings is found in the administrative record for the test claim. The administrative record, including the Statement of Decision, is on file with the Commission.

CLAIM FOR PAYMENT Pursuant to Government Code Section 17561 PUPIL EXCLUSIONS			For State Controller Use Only		Program 165
(01) Claimant Identification Number			(19) Program Number 00165		165
(02) Claimant Name			(20) Date Filed ____/____/____		
County of Location			(21) LRS Input ____/____/____		
Street Address or P.O. Box Suite			(22) PE-1, (03)		
City State Zip Code			(23) PE-1, (04)(1)(d)		
			(24) PE-1, (04)(2)(d)		
			(25) PE-1, (04)(4)(d)		
Type of Claim	(03) Estimated <input type="checkbox"/>	(09) Reimbursement <input type="checkbox"/>	(26) PE-1, (06)		
	(04) Combined <input type="checkbox"/>	(10) Combined <input type="checkbox"/>	(27) PE-1, (07)		
	(05) Amended <input type="checkbox"/>	(11) Amended <input type="checkbox"/>	(28) PE-1, (08)		
			(29) PE-1, (10)		
Fiscal Year of Cost	(06) 20 ____/20 ____	(12) 20 ____/20 ____	(30) PE-1, (11)		
Total Claimed Amount	(07)	(13)	(31)		
Less: 10% Late Penalty, not to exceed \$1,000		(14)	(32)		
Less: Prior Claim Payment Received		(15)	(33)		
Net Claimed Amount		(16)	(34)		
Due from State	(08)	(17)	(35)		
Due to State		(18)	(36)		
<p>(37) CERTIFICATION OF CLAIM</p> <p>In accordance with the provisions of Government Code Section 17561, I certify that I am the officer authorized by the school district to file mandated cost claims with the State of California for this program, and certify under penalty of perjury that I have not violated any of the provisions of Government Code Sections 1090 to 1098, inclusive.</p> <p>I further certify that there was no application other than from the claimant, nor any grant or payment received, for reimbursement of costs claimed herein, and such costs are for a new program or increased level of services of an existing program. All offsetting savings and reimbursements set forth in the Parameters and Guidelines are identified, and all costs claimed are supported by source documentation currently maintained by the claimant.</p> <p>The amounts for this Estimated Claim and/or Reimbursement Claim are hereby claimed from the State for payment of estimated and/or actual costs set forth on the attached statements. I certify under penalty of perjury under the laws of the the State of California that the foregoing is true and correct.</p> <div style="display: flex; justify-content: space-between; margin-top: 20px;"> <div style="width: 60%;"> <p>Signature of Authorized Officer</p> <p>_____</p> <p>Type or Print Name</p> </div> <div style="width: 35%;"> <p>Date</p> <p>_____</p> <p>Title</p> </div> </div> <div style="margin-top: 10px;"> <p>(38) Name of Contact Person for Claim _____ Telephone Number () - Ext. </p> <p>_____ E-Mail Address _____</p> </div>					

Program 165	PUPIL EXCLUSIONS Certification Claim Form Instructions	FORM FAM-27
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- (01) Enter the payee number assigned by the State Controller's Office.
- (02) Enter your Official Name, County of Location, Street or P. O. Box address, City, State, and Zip Code.
- (03) If filing an estimated claim, enter an "X" in the box on line (03) Estimated.
- (04) If filing a combined estimated claim on behalf of districts within the county, enter an "X" in the box on line (04) Combined.
- (05) If filing an amended estimated claim, enter an "X" in the box on line (05) Amended.
- (06) Enter the fiscal year in which costs are to be incurred.
- (07) Enter the amount of the estimated claim. If the estimate exceeds the previous year's actual costs by more than 10%, complete form PE-1 and enter the amount from line (12).
- (08) Enter the same amount as shown on line (07).
- (09) If filing a reimbursement claim, enter an "X" in the box on line (09) Reimbursement.
- (10) If filing a combined reimbursement claim on behalf of districts within the county, enter an "X" in the box on line (10) Combined.
- (11) If filing an amended reimbursement claim, enter an "X" in the box on line (11) Amended.
- (12) Enter the fiscal year for which actual costs are being claimed. If actual costs for more than one fiscal year are being claimed, complete a separate form FAM-27 for each fiscal year.
- (13) Enter the amount of the reimbursement claim from form PE-1, line (12). The total claimed amount must exceed \$1,000.
- (14) Reimbursement claims must be filed by June 21, 2006. Claims filed after the deadline will be reduced by a late penalty. Enter zero if the claim was timely filed, otherwise, enter the product of multiplying line (13) by the factor 0.10, not to exceed \$1,000.
- (15) If filing a reimbursement claim or a claim was previously filed for the same fiscal year, enter the amount received for the claim. Otherwise, enter a zero.
- (16) Enter the result of subtracting line (14) and line (15) from line (13).
- (17) If line (16), Net Claimed Amount, is positive, enter that amount on line (17), Due from State.
- (18) If line (16), Net Claimed Amount, is negative, enter that amount on line (18), Due to State.
- (19) to (21) Leave blank.
- (22) to (36) Reimbursement Claim Data. Bring forward the cost information as specified on the left-hand column of lines (22) through (36) for the reimbursement claim, e.g., PE-1, (03), means the information is located on form PE-1, line (03). Enter the information on the same line but in the right-hand column. Cost information should be rounded to the nearest dollar, i.e., no cents. Indirect costs percentage should be shown as a whole number and without the percent symbol, i.e., 7.548% should be shown as 8. **Completion of this data block will expedite the payment process.**
- (37) Read the statement "Certification of Claim." If it is true, the claim must be dated, signed by the agency's authorized officer, and must include the person's name and title, typed or printed. **Claims cannot be paid unless accompanied by an original signed certification. (To expedite the payment process, please sign the form FAM-27 with blue ink, and attach a copy of the form FAM-27 to the top of the claim package.)**
- (38) Enter the name, telephone number, and e-mail address of the person to contact if additional information is required.

SUBMIT A SIGNED ORIGINAL, AND A COPY OF FORM FAM-27, WITH ALL OTHER FORMS AND SUPPORTING DOCUMENTS TO:

Address, if delivered by U.S. Postal Service:

**OFFICE OF THE STATE CONTROLLER
 ATTN: Local Reimbursements Section
 Division of Accounting and Reporting
 P.O. Box 942850
 Sacramento, CA 94250**

Address, if delivered by other delivery service:

**OFFICE OF THE STATE CONTROLLER
 ATTN: Local Reimbursements Section
 Division of Accounting and Reporting
 3301 C Street, Suite 500
 Sacramento, CA 95816**

Program 165	MANDATED COSTS PUPIL EXCLUSIONS CLAIM SUMMARY				FORM PE-1
(01) Claimant		(02) Type of Claim		Fiscal Year	
		Reimbursement <input type="checkbox"/>			
		Estimated <input type="checkbox"/>		20____/20____	
Claim Statistics					
(03) Number of pupils excluded during the fiscal year pursuant to Health and Safety Code Section 120230, or Education Code Section 49451.					
Direct Costs					
(04) Reimbursable Components	(a) Salaries and Benefits	(b) Materials and Supplies	(c) Contracted Services	(d) Total	
1. Rules and Regulations (Reimbursement ends 12/31/04)					
2. Exclusion Report					
3. Exclusion Notice (Reimbursement ends 10/06/05)	From form PE-2.1				
4. Access to Documents (Reimbursement ends 10/06/05)					
(05) Total Direct Costs					
Indirect Costs					
(06) Indirect Cost Rate	[From J-380 or J-580]				%
(07) Total Indirect Costs	[Line (06) x line (05)(a)]				
(08) Total Cost for Exclusion Notice	[From PE-2.1, line (04)(d)]				
(09) Total Direct and Indirect Costs	[Line (05)(d) + line (07) + line (08)]				
Cost Reduction					
(10) Less: Offsetting Savings, if applicable					
(11) Less: Other Reimbursements, if applicable					
(12) Total Claimed Amount	[Line (09) – {line (10) + line (11)}]				

Program 165	PUPIL EXCLUSIONS CLAIM SUMMARY Instructions	FORM PE-1
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- (01) Enter the name of the claimant.
- (02) Type of Claim. Check a box, Reimbursement or Estimated, to identify the type of claim being filed. Enter the fiscal year of costs.
- Form PE-1 must be filed for a reimbursement claim. Do not complete form PE-1 if you are filing an estimated claim and the estimate does not exceed the previous fiscal year's actual costs by more than 10%. Simply enter the amount of the estimated claim on form FAM-27, line (07). However, if the estimated claim exceeds the previous fiscal year's actual costs by more than 10%, form PE-1 must be completed and a statement attached explaining the increased costs. Without this information the estimated claim will automatically be reduced to 110% of the previous fiscal year's actual costs.
- (03) Enter the number of pupils who were excluded during the fiscal year pursuant to Health and Safety Code Section 120230 or Education Code Section 49451.
- (04) Reimbursable Components. For each reimbursable components 1, 2, and 4, enter the totals from form PE-2, line (05), columns (d), (e), and (f) to form PE-1, block (04), columns (a), (b), and (c) in the appropriate row. Total each row. For reimbursable component 3, refer to form PE-2.1
- (05) Total Direct Costs. Total columns (a) through (d).
- (06) Indirect Cost Rate. Enter the indirect cost rate from the Department of Education form J-380 or J-580, as applicable, for the fiscal year of costs.
- (07) Total Indirect Costs. Enter the result of multiplying the Total Salaries and Benefits, line (05)(a), by the Indirect Cost Rate, line (06).
- (08) Total Cost for Exclusion Notice. Enter the amount from form PE-2.1, line (04)(d).
- (09) Total Direct and Indirect Costs. Enter the sum of Total Direct Costs, line (05)(d), Total Indirect Costs, line (07), and Total Cost for Exclusion Notice, line (08).
- (10) Less: Offsetting Savings, if applicable. Enter the total savings experienced by the claimant as a direct result of this mandate. Submit a detailed schedule of savings with the claim.
- (11) Less: Other Reimbursements, if applicable. Enter the amount of other reimbursements received from any source including, but not limited to, service fees collected, federal funds, and other state funds, which reimbursed any portion of the mandated cost program. Submit a schedule detailing the reimbursement sources and amounts.
- (12) Total Claimed Amount. Subtract the sum of Offsetting Savings, line (09), and Other Reimbursements, line (10), from Total Direct and Indirect Costs, line (08). Enter the remainder on this line and carry the amount forward to form FAM-27, line (07) for the Estimated Claim or line (13) for the Reimbursement Claim.

Program 165	MANDATED COSTS PUPIL EXCLUSIONS COMPONENT/ACTIVITY COST DETAIL	FORM PE-2
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(01) Claimant

(02) Fiscal Year Costs Were Incurred

(03) Reimbursable Component: Check only **one** box per form to identify the component being claimed.☐ Rules and Regulations☐ Exclusion Report☐ Access to Documents

(04) Description of Expenses: Complete columns (a) through (f).

Object Accounts

(a) Employee Names, Job Classifications, Functions Performed, and Description of Expenses	(b) Hourly Rate or Unit Cost	(c) Hours Worked or Quantity	(d) Salaries and Benefits	(e) Materials and Supplies	(f) Contract Services
(05) Total <input type="text"/> Subtotal <input type="text"/> Page: ____ of ____					

Program 165	PUPIL EXCLUSIONS COMPONENT/ACTIVITY COST DETAIL Instructions	FORM PE-2
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- (01) Enter the name of the claimant.
- (02) Enter the fiscal year for which costs were incurred.
- (03) Reimbursable Components. Check the box which indicates the cost component being claimed. Check only one box per form. A separate form PE-2 shall be prepared for each applicable component.
- (04) Description of Expenses. The following table identifies the type of information required to support reimbursable costs. To detail costs for the component activity box "checked" in block (03), enter the employee names, position titles, a brief description of the activities performed, actual time spent by each employee, productive hourly rates, fringe benefits, supplies used, and contract services. **The descriptions required in column (4)(a) must be of sufficient detail to explain the cost of activities or items being claimed.** For audit purposes, all supporting documents must be retained by the claimant for a period of not less than three years after the date the claim was filed or last amended, whichever is later. If no funds were appropriated and no payment was made at the time the claim was filed, the time for the Controller to initiate an audit shall be three years from the date of initial payment of the claim. Such documents shall be made available to the State Controller's Office on request.

Object/ Sub object Accounts	Columns						Submit these supporting documents with the claim
	(a)	(b)	(c)	(d)	(f)	(g)	
Salaries	Employee Name	Hourly Rate	Hours Worked	Salaries = Hourly Rate x Hours Worked			
Benefits	Title Activities	Benefit Rate	Hours Worked	Benefits = Benefit Rate x Salaries			
Materials and Supplies	Description of Supplies Used	Unit Cost	Quantity Used		Cost = Unit Cost x Quantity Used		
Contract Services	Name of Contractor Specific Tasks Performed	Hourly Rate	Hours Worked Inclusive Dates of Service			Cost = Hourly Rate x Hours Worked or Total Contract	Copy of Contract and Invoices

- (05) Total line (04), columns (d), (e), and (f) and enter the sum on this line. Check the appropriate box to indicate if the amount is a total or subtotal. If more than one form is needed to detail the component/activity, number each page. Enter totals from line (05), columns (d), (e), and (f) to form PE-1, block (04), columns (a), (b), and (c) in the appropriate row.

Program 165	MANDATED COSTS PUPIL EXCLUSIONS (AMENDED) COMPONENT/ACTIVITY COST DETAIL			FORM PE-2.1						
(01) Claimant		(02) Fiscal Year								
(03) Leave blank.										
(04) Calculation of Exclusion Notice Costs										
(a) Unit Rate Per Page	(b) Number of Pages in the Standard Text	(c) Number of Pupils Excluded Per H & S Code § 120230 or Education Code 49451	(d) Total Cost (a) x (b) x (c)							
<p>Instructions</p> <p>(01) Enter the name of the claimant.</p> <p>(02) Enter the fiscal year of the costs incurred.</p> <p>(03) Leave blank.</p> <p>(04) Calculation of exclusion notice costs:</p> <div style="margin-left: 40px;"> <p>(a) Enter the unit rate per page for reimbursement of cost of the pupil exclusion notice from the rates listed below.</p> <table style="margin-left: 100px; border: none;"> <tr> <td style="text-align: center;">Fiscal Years</td> <td style="text-align: center;">Unit Rate Per Page</td> </tr> <tr> <td style="text-align: center;">2004-05</td> <td style="text-align: center;">0.18</td> </tr> <tr> <td style="text-align: center;">2005-06</td> <td style="text-align: center;">0.19</td> </tr> </table> </div> <p>(c) Enter the number of pupils that were excluded per Health and Safety Code Section 120230 or Education Code 49451.</p> <p>(d) Enter the result of multiplying column (a) by the product of column (b) and column (c). Carry this total forward to line (08) of form PE-1.</p>					Fiscal Years	Unit Rate Per Page	2004-05	0.18	2005-06	0.19
Fiscal Years	Unit Rate Per Page									
2004-05	0.18									
2005-06	0.19									